eSign API Specifications

Version 1.6 April 2016

Effective Date of implementation by ESP : 30.05.2016



Controller of Certifying Authorities Department of Electronics and Information Technology Ministry of Communications and Information Technology

Document Control

Document Name	eSign API Specifications
Status	Release
Version	1.6
Last update	22 April 2016
Document Owner	Controller of Certifying Authorities, India

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1. Introduction

Information Technology Act, 2000 grants legal recognition to electronic records and electronic signatures. IT Act,2000 provides that where any law requires that information or any other matter shall be authenticated by affixing signature then notwithstanding anything contained in the law, such requirement shall be deemed to be fulfilled if such information is authenticated by means of electronic signatures affixed in a manner prescribed by the Central Government. Under the IT Act, 2000, 'Electronic signatures' means authentication of an electronic record by a subscriber by means of electronic technique specified in second schedule and includes Digital signatures. Digital Signature means authentication of any electronic record by a subscriber by means of procedure specified in Section 3 of the IT Act, 2000.

The Controller exercises supervision over activities of Certifying Authorities and certifies public keys of certifying authorities. The Certifying Authorities are granted licence under the IT Act, 2000 by the Controller to issue Digital Signature Certificates. Any person can make an application to Certifying Authority for issue of an Electronic signature Certificate in such form as may be prescribed by the Central Government. For issuance of Digital Signature Certificates, the applicant's Personal identity, address and other details to be included in the DSC need to be verified by CAs against an identity document. For class III, physical presence of the individual is also required. Digital signatures are widely used for authentication in the electronic environment. The cost of verification individual's identity and address and also the secure storage of private keys are the stumbling block in the widespread usage of Digital Signature in the electronic environment.

X.509 Certificate Policy for India PKI states that the certificates will confirm that the information in the application provided by the subscriber does not conflict with the information in well-recognized consumer databases. The database of individual's information maintained by Unique Identification Authority of India (UIDAI) is deemed as authentic information by Government.

^[1]The Unique Identification Authority of India (UIDAI) has been established with the mandate of providing a Unique Identification Number (Aadhaar Number) to all residents of India. During enrolment, the following data is collected:

- 1. Demographic details such as the name of the resident, address, date of birth, and gender;
- 2. Biometric details such as the fingerprints, iris scans, and photograph; and
- 3. Optional fields for communication of such as the mobile number and email address.

The UIDAI offers an authentication service that makes it possible for residents to authenticate their identity biometrically through presentation of their fingerprints or non-biometrically using a One Time Password (OTP) sent to the registered mobile phone or e-mail address

Verification of the Proof of Identity (PoI) and Proof of Address (PoA) is a pre-requisite for issuance of Digital Signature Certificates by Certifying Authorities. As part of the e-KYC process, the resident authorizes UIDAI (through Aadhaar authentication using either biometric/OTP) to provide their demographic data along with their photograph (digitally signed and encrypted) to service providers.

[1] https://eaadhaar.uidai.gov.in/ Service providers can provide a paperless KYC experience by using e-KYC and avoid the cost of repeated KYC, the cost of paper handling and storage, and the risk of forged documents. The real-time e-KYC service makes it possible for service providers to provide instant service delivery to residents, which otherwise would have taken a few days for activation based on the verification of KYC documents, digitization, etc.

The Government has introduced *Electronic Signature or Electronic Authentication Technique and Procedure Rules, 2015* in which the technique known as "e-authentication technique using Aadhaar e-KYC services" has been introduced to eliminate stumbling block in the widespread usage of Digital Signature. This service is termed as "eSign Service".

e-Sign facilitates digitally signing a document by an Aadhaar holder using an Online Service. While authentication of the signer is carried out using eKYC of Aadhaar, the signature on the document is carried out on a backend server, which is the e-Sign provider. The service can be run by a trusted third party service provider, like Certifying Authority. To begin with the trusted third party service shall be offered only by Certifying Authorities. The eSign is an integrated service that facilitates issuing a Signature Certificate and performing Signing of requested data by authenticating AADHAAR holder. The eSign Service shall be implemented in line with e-authentication guidelines issued by Controller. The certificate issued through eSign service will have a limited validity period and is only for one-time signing of requested data, in a single session.

1.1. Target Audience

This is a technical document and is targeted at Application Service Providers who require signing of digital document(s) in their application.

1.2. Objective of the document

This document provides eSign Service API specification. This includes API Data format, protocol and other related specifications.

1.3. Terminology

Application Service Provider (ASP): An organization or an entity using eSign service as part of their application to digitally sign the content. Examples include Government Departments, Banks and other public or private organizations. Currently there is no process of registration of ASP. ASP may contact the ESP (eSign Service Provider) directly to avail the service within its framework.

End-User: An Individual using the application of ASP and represents himself/herself for signing the document under the legal framework. For the purposes of KYC with UIDAI, the end-user shall also be the 'resident' holding the AADHAAR number. For the purposes of DSC by the CA, the end-user shall also be the 'applicant/subscriber for digital certificate', under the scope of IT Act.

eSign Service Provider (ESP): An organization or an entity providing eSign service. ESP is a "Trusted Third Party", as per the definitions of Second Schedule of Information Technology Act. ESP must be a registered KYC User Agency (KUA) with UIDAI. ESP will facilitate subscriber's key pair-generation, storing of key pairs on hardware security module and creation of digital signature. ESP can be a

Licensed Certifying Authority (CA), by themselves, or must be having an arrangement / integration with a CA for the purpose of obtaining Signature Certificate for the generated Key-pair.

Certifying Authority (CA): An organization or an entity licensed under CCA for issuance of Digital Certificate and carrying out allied CA operations.

UIDAI: An authority established by Government of India to provide unique identity to all Indian residents. It also runs the eKYC authentication service for the registered KYC User Agency (KUA).

1.4. Legal Framework

eSign service will operate under the provisions of the Second Schedule of Information Technology Act, 2000 (e-authentication technique using Aadhaar e-KYC services) as notified vide (notification details)

2. Understanding eSign Service

This chapter describes eSign Service, some of the envisioned usage scenarios, and working details. Technical details follow in subsequent chapters.

2.1. eSign Service at a glance



2.2. eSign framework

Following Diagram depicts the framework of eSign service.



API sequence diagram is given below:



3. eSign Service API

This chapter describes the API in detail including the service flow, communication protocol, and data formats.

3.1. Usage scenarios

Option 1: Directly connecting to ESP

The API specifications remain common for all eSign Service provider. However, below are the things which will vary for each ESP.

- 1. eSign Service URL
- 2. ASP ID Unique User ID provided by the ESP.

The eSign service API can be used in below based scenarios.

- 1. ASP using single eSign Service Provider
- 2. ASP using multiple eSign Service Provider

The usage of single eSign Service Provider is a straight forward case.

However, in case of multiple eSign provider, ASP shall have the parameters configurable for each request. The routing of requests to each API can be a round-robin, or a failure switchover, or an end-user selection basis, or any other manner implemented by ASP.

Option 2: Using a Gateway Service Provider

Please refer to separate section of using Gateway Service at latter part of this document.

3.1.1. eSign using Aadhaar Authentication

Following explains various scenarios and data flow.^[1]



In this scenario:

- 1. ASP asks the end user to sign the document
- 2. ASP creates the document hash (to be signed) on the client side
- 3. ASP asks for AADHAAR number of the end-user.
- 4. ASP asks for Biometric Data (Fingerprint / IRIS) through a device approved by UIDAI
 - a. Alternatively, ASP can ask for OTP received from UIDAI.
 - b. OTP can be obtained by end-user through either by using UIDAI application, or by ASP calling the eSigin OTP service defined in this document.
- 5. ASP asks the end user to provide consent for certificate generation and signature
- 6. ASP forms the input data for eSign API
- 7. ASP calls the eSign API for Signing request
 - a. ESP validates the calling application and the input.
 - ESP forms the AADHAAR eKYC input as per AADHAAR API specification. The transaction ID shall be MD5 hash of document hash received along with Time Stamp received, to have correlation to eSign request. Eg: "UKC:" + md5(DocumentHashReceived + TimeStampReceived).
 - c. ESP invokes AADHAAR eKYC API
 - d. ESP logs the transaction
 - e. ESP creates a new key pair and CSR for end-user.
 - f. ESP calls the CA service and gets a certificate for end-user. The certificate will be a special class signature certificate, which has AADHAAR number, Name of the

Aadhaar holder, eKYC response code, Authentication Type, and Time Stamp embedded.

- g. ESP signs the 'document hash' and provides to the end-user.
- 8. ASP receives the document signature and the end-user's public certificate.
- 9. ASP asks the end user to provide acceptability of certificate
- 10. On acceptance, ASP attaches the signature to the document.

3.2. API Protocol - eSign Service

eSign service is exposed as stateless service over HTTPS. Usage of open data format in XML and widely used protocol such as HTTP allows easy adoption and deployment of this service. To support strong end to end security and avoid request tampering and man-in-the-middle attacks, it is essential that encryption of data happens at the time of capture on the capture device.

Following is the URL format and the parameters for eSign service:

API URL	https:// <host:port>/<path>/<ver>/signdoc</ver></path></host:port>		
	 Where: Host is the domain name or IP address of the ESP server. Port is optional <path> is optional, and may vary for each ESP</path> <ver> indicates version of the API. Currently it must be "1.0"</ver> 		
	Example: • https://www.esp.com/esign/1.0/signdoc • https://portal.esp.com/esign/1.0/signdoc • https://esign.esp.com/1.0/signdoc • https://esp.com/projects/esign/1.0/signdoc • https://www.esp.com:8890/esign/1.0/signdoc		
Method	POST		
Content-Type	"application/xml"		
Post data	A well-formed XML, as per the specifications provided in this document.		

ASP is required to collect the necessary API URL from the respective ESP.

3.3. eSign API: Input Data Format - eSign Service

eSign Service uses XML as the data format for input and output.

3.3.1. High level structure

Following is the XML data format for signing API:

```
<Request>
<EsignXml>base-64 encoded eSign XML</EsignXml>
<Aadhaar>base-64 encoded Aadhaar Auth XML as per UIDAI
specifications</Aadhaar>
</Request>
```

IMPORTANT NOTE: For data logging requirements, only the content of EsignXml element shall be stored (base-64 encoded eSign XML). The main XML should not be stored for whatsoever the reason, as the Auth XML contains the PID data and prohibited for storage, as per UIDAI. If ASP is a KUA, then to share the e-KYC data with ESP, explicit consent has to be obtained from resident.

3.3.1.1. Flow of XML creation



Options 1: ASP is not a KUA and sends Authentication Information

Options 2: ASP is a KUA and sends KYC Information



3.3.1.2. Element Details

Element Name: Request

- Description: Root element of the input xml
- Requirement of tag: Mandatory
- Value: Sub-elements
- Attributes: Not applicable

Element Name: EsignXml

- Description: Contains the document hash and other eSign Specific parameters.
- Requirement of tag: Mandatory.
- Value: Base-64 encoded eSign XML. (Defined below)
- Attributes: Not applicable

Element Name: Aadhaar

- Description: Contains the end-user information and is based on AADHAAR Authentication API.
- Requirement of tag: Mandatory, if preVerified attribute is set to NO. Else not required.

- Value: Base-64 encoded subset of Aadhaar Authentication XML, as per the UIDAI specifications for Auth XML. (Defined below)
- Attributes: Not applicable

3.3.2. eSign XML structure

Following is the XML data format for eSign XML.

```
<Esign ver="" sc="" ts="" txn="" uid="" aspId="" AuthMode=""
responseSigType="" preVerified="" >
     <Docs>
          <InputHash id="" hashAlgorithm="">Document Hash
                                                                in
     Hex</InputHash>
     </Docs>
     <AuthHash>SHA-256
                       Hash of Base 64
                                                 encoded
                                                           Aadhaar
     XML</AuthHash>
     <KycRes>base-64 encoded KycRes element of UIDAI KUA response,
     with UIDAI signature intact</KycRes>
     <Signature>Digital signature of ASP</Signature>
</Esign>
```

3.3.2.1. Element Details

Element Name: Esign

- Description: Root element of the eSign xml
- Requirement of tag: Mandatory
- Value: Sub-elements
- Attributes: Table below

SI No	Attribute	Required?	Value
1.	Ver	Mandatory	eSign version (mandatory). ESP may host multiple versions for supporting gradual migration. As of this specification, API version is "1.5".
2.	SC	mandatory	 sc – (mandatory) Represents signatory's explicit consent for accessing the signatory's identity and address data from Aadhaar system, generate and submit the electronic DSC application form to CA, creation of key pairs by ESP for signatory, submission of certificate to CA for certification , one time creation of signature on the hash along with this request, deletion of key pairs from the after applying signature . Only valid value is "Y". Sample hereby authorise ESP to accessing my identity and address data through Aadhaar eKYC Services Generate and submit the electronic DSC application form to CA. create key pairs and submit of certificate Sigining Request for DSC Generation

			4. one time creation of signature for this document/information
			I understand that the key pair will be deleted immediately after applying Signature
			Check box[Y/N*] *No by default
3.	ts	Mandatory	Request timestamp in ISO format. This should be same "ts" value as what is in PID block within Aadhaar authentication XML. See Aadhaar API specifications for details and format.
			The value should be in Indian Standard Time (IST), and should be within the range of maximum 30 minutes deviation to support out of sync server clocks.
4.	txn	Mandatory	Transaction ID of the ASP calling the API, this is logged and returned in the output for correlation
5.	uid	Mandatory	Aadhaar Number of the End User
6.	aspld	Mandatory	Organization ID issued by ESP to the ASP
7.	AuthMode	Mandatory	Authentication Mode used in Aadhaar Authentication, either to be performed by ESP, or as already made by the ASP.
			Allowed values are:OTP = 1
			 Fingerprint = 2
			• IRIS = 3
			Class of eSign Certificate will be "OTP Class" for OTP based authentication. For Fingerprint and IRIS, the class will be "Biometric Class". These will be as per definitions of "Class of Certificate" in India PKI-CP.
8.	responseSigType	Mandatory	This value represents the response signature type, where ASP can request for specific type of signature, like Raw or PKCS7.
			Allowed Values are:
			 rawrsa pkcs7
			Examples: responseSigType="rawrsa"
			responseSigType="pkcs7"
9.	preVerified	Optional	Represents that ASP is a KUA and has already made a KUA transaction towards the given Aadhaar holder. (Not older than 15 minutes)
			Allowed values are y and n, representing yes or no.
			If Yes, the sub-element KycRes is required. ESP will verify the integrity by validating UIDAI signature on KycRes, and then use respective Aadhaar holder

	information to generate Digital Certificate.
	Else, Aadhaar Auth XML is required, so that ESP makes the transaction with UIDAI.

Element Name: Docs

- Description: Contains minimum 1, maximum of 10 sub-elements with Document Hash
- Requirement of tag: Mandatory
- Value: Sub-elements
- Attributes: Not applicable

Element Name: InputHash

- Description: Contains the value of Document Hash, which has to be signed.
- Requirement of tag: Mandatory
- Value: SHA256 hash value of the document in Hex format
- Attributes: Table below

SI No	Attribute	Required?	Value
1.	id	Mandatory	Contains running serial number for document hashes. This should start at 1, and should be sequential, maximum up-to 10. This will be the key to correlate the response signature of each document.
2.	hashAlgorithm	Mandatory	Should be fixed to "SHA256"

Element Name: AuthHash

- Description: Contains the SHA-256 hash of Aadhaar Auth XML (after Base 64 encoding). This hash is inside the signed block, and shall be used to compare the integrity of outer Aadhaar XML, at ESP end.
- Requirement of tag: Mandatory, if preVerified attribute is set to NO. Else not required.
- Value: SHA-256 Hash of Base 64 encoded Aadhaar XML AI.
- Attributes: Not applicable

Element Name: KycRes

- Description: Contains the Base 64 representation of 'KycRes' element received from UIDAI-KUA transaction (after decryption). This element should not be altered/modified, and should have the UIDAI signature intact.
- Requirement of tag: Mandatory, if preVerified attribute is set to YES. Else not required.
- Value: Base-64 encoded subset of KycRes XML, received from UIDAI.
- Attributes: Not applicable

Element Name: Signature

- Description: Contains the signature of ASP.
- Requirement of tag: Mandatory
- Value:
 - Signed value of Input XML, as per the W3C recommendation on XML Signature Syntax and Processing (Second Edition)
 - Refer <u>http://www.w3.org/TR/xmldsig-core/</u> for more information
- Attributes: Not applicable

If resident does not provide this explicit consent, application SHOULD NOT process data using this API. ASP front-end application must ensure it takes an "explicit informed signatory's consent" authorizing the ESP to retrieve the resident data, DSC application form generation and submission, key-pair generation, CSR request to CA, Digital Signature on the hash submitted and key pair deletion after Digital Signature creation.

IMPORTANT NOTE: Digital Signature at eKYC XML level is mandatory. The eSign request XML should be digitally signed by ASP for authentication purposes.

3.3.3. Aadhaar Auth XML structure

Following is the XML data format for Aadhaar Auth XML version 1.6. Note that this XML may change based on Aadhaar specification. Currently e-Sign supports version 1.6.

Details of Aadhaar authentication XML and its detail specifications are available in Aadhaar Authentication Specification document. Readers must refer to specification document available at http://uidai.gov.in/images/FrontPageUpdates/aadhaar_authentication_api_1_6.pdf

Additional notes for Auth XML format on Aadhaar Auth API document 1.6:

- 1. Attribute txn should contain the MD5 hash of the Document Hash plus Time Stamp.
- 2. Uses Element of Auth XML should not be present. This will be formed by ESP based on Class of eSign being requested.
- 3. Signature element of Auth XML should not be present.

3.3.4. KycRes XML structure

Following is the representative XML data format for KycRes XML. Note that this XML may change based on Aadhaar specification. Currently e-Sign supports version 1.0 of UIDAI KYC API.

```
<KycRes ret="" code="" txn="" ts="" ttl="" actn="" err="">
     <Rar>base64
                 encoded fully valid Auth response XML for
     resident</Rar>
     <UidData uid="">
          <Poi name="" dob="" gender="" phone="" email=""/>
               co="" house="" street="" lm="" loc=""
          <Poa
                                                           vtc=""
          subdist="" dist="" pc="" po=""/>
          <LData lang="" name="" co="" house="" street="" lm=""</pre>
          loc="" vtc="" subdist="" dist="" state="" pc="" po=""/>
          <Pht>base64 encoded JPEG photo of the resident</Pht>
     </UidData>
     <Signature />
</KycRes>
```

3.4. eSign API: Response Data Format - eSign Service

Below is the response format of eSign Service API. Note that, the API does not give any identity related data of the end-user.

```
<EsignResp status="" ts="" txn="" resCode="" errCode="" errMsg="">
     <UserX509Certificate>base64 value of end user certificate
(.cer) </UserX509Certificate>
     <Signatures>
           <DocSignature id="" sigHashAlgorithm="SHA256" error="">
                Signature data in raw (PKCS#1) or PKCS7 (CMS)
           signature as requested
           </DocSignature>
           <DocSignature id="" sigHashAlgorithm="SHA256" error="">
                Signature data in raw (PKCS#1) or PKCS7 (CMS)
           signature as requested
           </DocSignature>
</Signatures>
     <AadhaarResp>base-64 encoded authentication response which is
contained within the eKYC response of UIDAI</AadhaarResp>
     <Signature>Signature of ESP</Signature>
</EsignResp>
```

ASP should make sure that the affixing of digital signature to document or storage of digital signature only after the signatory's approval of contents of certificate and signature.

3.4.1. Element Details

Element Name: EsignResp

- Description: This element is the root element of the response and contains the meta values.
- Value: Sub-elements
- Attributes: Table below

SI No	Attribute	Value	
1.	status	In case of success, it will be "1"	
		In case of failure, it will be "0"	
2.	ts	Will contain the response timestamp in ISO format.	
3.	txn	The Transaction ID provided by ASP in the request.	
4.	resCode	A unique response code provided by ESP. This is a unique id for	
		the transaction provided by ESP. It shall make the transaction	
		traceable, and ASP is expected to store this code in their audit log.	
5.	errCode	In case of failure, this will contain the failure error code.	
		In case of success, it will be "NA"	
6.	errMsg	In case of failure, this will contain a descriptive message against	
		the error code.	
		In case of success, it will be "NA"	

Element Name: UserX509Certificate

- Description: This element will contain the Base 64 value of the Certificate. No private key information is shared. For manual verification, this value can be copied and saved as .cer file (With begin and end statements PEM Format).
- Presence: Mandatory, if success.
- Value: Base 64 value of end-user certificate (public).
- Attributes: Not Applicable

Element Name: Signatures

- Description: This element contains the sub-elements of signatures corresponding to InputHash.
- Presence: Mandatory, if success.
- Value: Sub-elements.
- Attributes: Not Applicable

Element Name: DocSignature

- Description: This element will contain the signed value which will be verifiable against original document.
- Presence: Mandatory
- Value: Signed value in raw (PKCS#1) or PKCS7 (CMS) signature format as per the request XML.
- Attributes: Table Below

SI No	Attribute	Value	
3.	Id	Contains the corresponding ID to the Input Hash received	
4.	sigHashAlgorithm	Should be fixed to "SHA256"	
5.	error	In case of failure, this will contain the corresponding error	

Element Name: AadhaarResp

- Description: This element contains base-64 encoded authentication response which is contained within the eKYC response of UIDAI.
- Presence: Not present if the request does not contain authentication XML (ASP has passed KycRes). Else, this shall be present if ESP is able to get any kind of response from UIDAI during eKYC request, irrespective of whether eSign succeeds or fails.
- Value: base-64 encoded Aadhaar Authentication response XML. This is contained within eKYC response. ESP after doing eKYC, can simply pass this back to ASP. This provide a mechanism for ASP to keep the audit of Aadhaar authentication and take advantage of the response meta data such as action codes, resident messages, etc. Base on this, ASP application may have to show messages (in case biometric auth fails for example) to end user to ensure smooth transaction flow.
- Attributes: Not Applicable

Element Name: Signature

- Description: This element will contain the signature of ESP, which can be used for verification by ASP and protect the response from any kind of tamper.
- Value:
 - Signed value of response XML, as per the W3C recommendation on XML Signature Syntax and Processing (Second Edition)
 - Refer <u>http://www.w3.org/TR/xmldsig-core/</u> for more information
- Attributes: Not Applicable

3.4.2. Error Codes

The List of error codes are available at annexure 1. ASP can automate their application based on prominent errors, in order to ease the flow for end-user.

Any error from AADHAAR authentication will be responded with respective error codes defined by UIDAI. Please refer the latest error codes of UIDAI in Authentication API document.

4. OTP Generation Service API

This chapter describes the API for OTP Generation in detail including the service flow, communication protocol, and data formats.

It may also be noted that, even though UIDAI allows OTP over Email and Mobile, for the purpose of eSign, the **OTP will be delivered only to Mobile Number** of end user (If provided by end user during AADHAAR enrolment). In addition to SMS based OTPs, as and when UIDAI provide enhanced mobile OTP capabilities including HOTP/TOTP, etc., it shall be a valid mobile OTP for eSign purposes.

Initial authentication using login and password at ASP front-end application level is mandatory for the usage of OTP option for eSign.

4.1. API Protocol - OTP Generation Service

OTP Generation service is exposed as stateless service over HTTPS. This is a proxy service to Aadhaar OTP service. If Aadhaar holder does not have a valid mobile OTP, ASP can use this service to send a mobile OTP to Aadhaar holder's registered mobile. Note that UIDAI provides Aadhaar holders a mechanism to obtain OTP directly (via SMS, resident portal, mobile app, etc). If Aadhaar holder does not have a valid OTP in possession, ASP application should provide an option to "Request OTP" and execute that request via this API.

[1] https://eaadhaar.uidai.gov.in/

API URL	 <u>https://<host:port>/<path>/<ver>/getotp</ver></path></host:port></u> Where: Host is the domain name or IP address of the ESP server. Port is optional <path> is optional and may vary to each ESP</path> <ver> indicates version of the API. Currently it must be "1.0"</ver> 		
Method	POST		
Content-Type	"application/xml"		
Post data	A well-formed XML, as per the specifications provided in this document.		

Following is the URL format and the parameters for OTP Generation service:

ASP is required to collect the necessary API URL from the respective ESP.

4.2. Supplementary API: Input Data Format - OTP Generation Service

OTP Generation Service uses XML as the data format for input and output.

4.2.1. High level structure

Following is the XML data format for signing API:

4.2.1.1. Element Details

Element Name: OTP

- Description: Root element of the input xml
- Requirement of tag: Mandatory
- Value: Sub-elements
- Attributes: Table below

SI No	Attribute	Required?	Value
1.	ts	Mandatory	Request timestamp in ISO format as per Aadhaar authentication API specification. The value should be in Indian Standard Time (IST), and should be within the range of maximum 30 minutes deviation to support out of sync server clocks.
2.	ver	Mandatory	OTP API version (mandatory). ESP may host multiple versions for supporting gradual migration. As of this specification, API version is "1.0".
3.	txn	Mandatory	Transaction ID of the ASP calling the API, this is logged and returned in the output for correlation
4.	aspld	Mandatory	User ID issued by ESP to the ASP
5.	uid	Mandatory	Aadhaar number of the resident

Element Name: Signature

- Description: Contains the signature of ASP.
- Requirement of tag: Mandatory
- Value:
 - Signed value of Input XML, as per the W3C recommendation on XML Signature Syntax and Processing (Second Edition)
 - Refer <u>http://www.w3.org/TR/xmldsig-core/</u> for more information
- Attributes: Not applicable

4.3. Supplementary API: Response Data Format - OTP Generation Service

Below is the response format of OTP Generation Service API. As this is not a data sensitive API, and just a triggering API, this will not be a signed response.

```
<Signature>Signature of ESP</Signature>
</OTPResp>
```

4.3.1. Element Details

Element Name: OTPResponse

- Description: This element is the root element of the response and contains the meta values.
- Value: No value will be specified, and will be a self-closing XML tag.
- Attributes: Table below

SI No	Attribute	Value		
1.	status	In case of success, it will be "1"		
		In case of failure, it will be "0"		
2.	ts	Will contain the response timestamp in ISO format.		
3.	txn	The Transaction ID provided by ASP in the request.		
4.	resCode	A unique response code provided by ESP. This is a unique id for the transaction provided by ESP. It shall make the transaction traceable, and ASP is expected to store this code in their audit log.		
5.	errCode	In case of failure, this will contain the failure error code. In case of success, it will be "NA"		
6.	errMsg	In case of failure, this will contain a descriptive message against the error code. In case of success, it will be "NA"		

Element Name: AadhaarResp

- Description: This element contains base-64 encoded OTP response XML received from UIDAI.
- Value: base-64 encoded Aadhaar OTP response XML. This provide a mechanism for ASP to keep the audit of Aadhaar OTP API and take advantage of the response meta data such as action codes, resident messages, etc. Base on this, ASP application may have to show messages (in case of unverified or missing mobile number in Aadhaar database for example) to end user to ensure smooth transaction flow.

Element Name: Signature

- Description: This element will contain the signature of ESP, which can be used for verification by ASP and protect the response from any kind of tamper.
- Value:
 - Signed value of response XML, as per the W3C recommendation on XML Signature Syntax and Processing (Second Edition)
 - Refer <u>http://www.w3.org/TR/xmldsig-core/</u> for more information
- Attributes: Not Applicable

4.3.2. Error Codes

The List of error codes are available at annexure 2. ASP can automate their application based on prominent errors, in order to ease the flow for end-user.

Any error from AADHAAR authentication will be responded with respective error codes defined by UIDAI. Please refer the latest error codes of UIDAI in OTP API document.

5. Gateway Service

Gateway Service Provider are the entities setup to facilitate multiple eSign service providers. In such case, the gateway service provider will be having integration with one or more ESP and route the request accordingly.

The Gateway Service provider should forward the eSign API XML to ESPs without modifying the inner contents. The following functions are carried out at Gateway.

- 1) The routing of eSign API XML to CA in accordance with value in the "preferredCa". If " preferredCa" is not present or service of ESP is not available, GSP may use their routing algorithms to select one ESP.
- 2) GSP shall remove the outer enveloping XML and send only the eSign Request XML as per core API specifications.
- 3) The response received from ESP shall be given back as-it-is to ASP without any kind of modification.

5.1. Gateway enveloping XML

The request to gateway shall be in an outer enveloping XML for the use of GSP.

```
<GatewayRequest preferredCa="" >
	<eXml>Base 64 encoded Request XML of eSign as per core
API</eXml>
</GatewayRequest>
```

5.1.1. Element Details

Element Name: GatewayRequest

- Description: Root element of the input xml
- Requirement of tag: Mandatory
- Value: Sub-elements
- Attributes: Table below

SI No	Attribute	Required?	Value
1.	preferredCa	Optional	This field can optionally have a unique code of particular
			CA. This helps in routing the request to specific CA, if
			requested by ASP.
			Allowed values are:
			• eMudhra = EMUDHRACA
			 (n)Code = NCODECA
			• Sify = SIFYCA
			• CDAC = CDACCA
			Note : For the latest list of empanelled ESPs please visit:
			http://esign.gov.in

eSign Service -error codes

SI No	Error Code	Error message	Originator	
1	ESP-901	Invalid Authentication Mode	ESP	
2	ESP-902	Invalid ASP ID. It cannot be Empty	ESP	
3	ESP-903	Invalid ASP ID. It may not exist or may be inactive.		
4	ESP-905	Document Hash not received	ESP	
5	ESP-906	Aadhaar cannot be Empty	ESP	
6	ESP-907	Request Time Stamp cannot be Empty	ESP	
7	ESP-908	Request Time Stamp is not valid. Please check the server time.	ESP	
8	ESP-909	Transaction ID cannot be Empty	ESP	
9	ESP-910	Duplicate Transaction ID for the given ASP.	ESP	
10	ESP-911	Input XML Signature verification failed.	ESP	
11	ESP-922	Invalid Signature on Input XML. Please use the corresponding certificate mapped with ESP.	ESP	
12	ESP-991	ESP Database Connectivity Error	ESP	
13	ESP-992	Input XML Parsing Error.	ESP	
14	ESP-993	Error Parsing CA Response XML	ESP	
15	ESP-994	Error from KSA Server	ESP	
16	ESP-995	Unknown CIDR Error	ESP	
17	ESP-996	Unable to parse UidData XML string	ESP	
18	ESP-999	Unknown Error	ESP	
19	K-100	Resident authentication failed	CIDR-KUA	
20	K-200	Resident data currently not available	CIDR-KUA	
21	K-540	Invalid KYC XML	CIDR-KUA	
22	K-541	Invalid e-KYC API version	CIDR-KUA	
23	K-542	Invalid resident consent ("rc" attribute in "Kyc" element)	CIDR-KUA	
24	K-543	Invalid timestamp ("ts" attribute in "Kyc" element)	CIDR-KUA	
25	K-544	Invalid resident auth type ("ra" attribute in "Kyc" element does not match what is in PID block)		
26	K-545	Resident has opted-out of this service	CIDR-KUA	
27	K-550	nvalid Uses Attribute	CIDR-KUA	
28	K-551	Invalid "Txn" namespace	CIDR-KUA	
29	K-552	Invalid License key	CIDR-KUA	
30	K-569	Digital signature verification failed for e-KYC XML	CIDR-KUA	
31	K-570	Invalid key info in digital signature for e-KYC XML (it is either expired,	CIDR-KUA	
		or does not belong to the AUA or is not created by a well-known Certification Authority)		
32	K-600	AUA is invalid or not an authorized KUA	CIDR-KUA	
33	K-601	ASA is invalid or not an authorized KSA		
34	K-602	KUA encryption key not available		
35	K-603	KSA encryption key not available CII		
36	K-604	KSA Signature not allowed	CIDR-KUA	
37	K-605	Neither KUA key nor KSA encryption key are available CIDR-KUA		
38	K-955	Technical Failure	CIDR-KUA	

39	K-999	Unknown error	CIDR-KUA		
40	100	"Pi" (basic) attributes of demographic data did not match.			
41	200	"Pa" (address) attributes of demographic data did not match			
42	300	Biometric data did not match			
43	310	Duplicate fingers used			
44	311	Duplicate Ingers used			
45	312	FMR and FIR cannot be used in same transaction	CIDR-AUA CIDR-AUA		
46	313	Single FIR record contains more than one finger	CIDR-AUA		
47	314	Number of FMR/FIR should not exceed 10	CIDR-AUA		
48	315	Number of IIR should not exceed 2	CIDR-AUA		
49	400	Invalid OTP value	CIDR-AUA		
	400	Invalid OTP value			
50			CIDR-AUA		
51	500	Invalid encryption of Skey	CIDR-AUA		
52	501	Invalid certificate identifier in "ci" attribute of "Skey"	CIDR-AUA		
53	502	Invalid encryption of Pid	CIDR-AUA		
54	503	Invalid encryption of Hmac	CIDR-AUA		
55	504	Session key re-initiation required due to expiry or key out of sync	CIDR-AUA		
56	505	Synchronized Key usage not allowed for the AUA	CIDR-AUA		
57	510	Invalid Auth XML format	CIDR-AUA		
58	511	Invalid PID XML format	CIDR-AUA		
59	520	Invalid device	CIDR-AUA		
60	521	Invalid FDC code under Meta tag	CIDR-AUA		
61	522	Invalid IDC code under Meta tag			
62	530	Invalid authenticator code			
63	540	Invalid Auth XML version			
64	541	Invalid PID XML version			
65	542				
		do not have linking in the portal			
66	543	Sub-AUA not associated with "AUA". This error will be returned if Sub-	CIDR-AUA		
		AUA specified in "sa" attribute is not added as "Sub-AUA" in portal			
67	550	Invalid "Uses" element attributes	CIDR-AUA		
68	551	Invalid "tid" value for registered device	CIDR-AUA		
69	552	Invalid registered device key, please reset	CIDR-AUA		
70	553	Invalid registered device HOTP, please reset	CIDR-AUA		
71	554	Invalid registered device encryption	CIDR-AUA		
72	555	Mandatory reset required for registered device	CIDR-AUA		
73	561	Request expired ("Pid->ts" value is older than N hours where N is a configured threshold in authentication server)	CIDR-AUA		
74	562	Timestamp value is future time (value specified "Pid->ts" is ahead of			
75	563	authentication server time beyond acceptable threshold)CIDR-ADuplicate request (this error occurs when exactly same authenticationCIDR-Arequest was re-sent by AUA)CIDR-A			
76	564	HMAC Validation failed	CIDR-AUA		
77	565	AUA license has expired CIDR-AU			
78	566	AUA license has expired CIDR-/ Invalid non-decryptable license key CIDR-/			
79	567	Invalid input (this error occurs when some unsupported characters	CIDR-AUA		

80	568	Unsupported Language	CIDR-AUA	
81	569	Digital signature verification failed (means that authentication request XML was modified after it was signed)		
82	570	Invalid key info in digital signature (this means that certificate used for signing the authentication request is not valid – it is either expired, or does not belong to the AUA or is not created by a well-known Certification Authority)		
84	572	Invalid biometric position	CIDR-AUA	
85	573	Pi usage not allowed as per license	CIDR-AUA	
86	574	a usage not allowed as per license	CIDR-AUA	
87	575	fa usage not allowed as per license	CIDR-AUA	
88	576	FMR usage not allowed as per license	CIDR-AUA	
89	577	FIR usage not allowed as per license	CIDR-AUA	
90	578	IIR usage not allowed as per license	CIDR-AUA	
91	579	OTP usage not allowed as per license	CIDR-AUA	
92	580	PIN usage not allowed as per license	CIDR-AUA	
93	581	Fuzzy matching usage not allowed as per license	CIDR-AUA	
94	582	Local language usage not allowed as per license	CIDR-AUA	
95	584	Invalid pincode in LOV attribute under Meta tag	CIDR-AUA	
96	585	Invalid geo-code in LOV attribute under Meta tag	CIDR-AUA	
97	710	Missing "Pi" data as specified in "Uses"	CIDR-AUA	
98	720	Missing "Pa" data as specified in "Uses"		
99	721	Missing "Pfa" data as specified in "Uses"		
100	730	Missing PIN data as specified in "Uses"		
101	740	Missing OTP data as specified in "Uses"		
102	800	Invalid biometric data		
103	810	Missing biometric data as specified in "Uses"		
104	811	Missing biometric data in CIDR for the given Aadhaar number		
105	812	Missing biometric data in CIDR for the given Aadhaar numberCIDResident has not done "Best Finger Detection". Application shouldCIDinitiate BFD application to help resident identify their best fingers. SeeCIDAadhaar Best Finger Detection API specification.CID		
106	820	Missing or empty value for "bt" attribute in "Uses" element	CIDR-AUA	
107	821	Invalid value in the "bt" attribute of "Uses" element	CIDR-AUA	
108	901	No authentication data found in the request (this corresponds to a scenario wherein none of the auth data – Demo, Pv, or Bios – is present)	CIDR-AUA	
109	902	 Invalid "dob" value in the "Pi" element (this corresponds to a scenarios CIDR-A wherein "dob" attribute is not of the format "YYYY" or "YYYY-MM-DD", or the age of resident is not in valid range) 		
110	910	Invalid "mv" value in the "Pi" element		
111	911	Invalid "mv" value in the "Pfa" element	CIDR-AUA	
112	912	Invalid "ms" value		
113	913	Invalid "ms" valueCIDR-ABoth "Pa" and "Pfa" are present in the authentication request (Pa and Pfa are mutually exclusive)CIDR-A		
114	940	Unauthorized ASA channel		
115	941	Unspecified ASA channel CIDR-AU		
116	980	Unsupported option CIDR-AUA		
117	997	Invalid Aadhaar status (Aadhaar is not in authenticatable status)	CIDR-AUA	

118	998	Invalid Aadhaar Number	CIDR-AUA
119	999	Unknown error	CIDR-AUA
120	E - 101	KYC XML Not Parsed Properly.	ASA-KSA
121	E - 102	Audit Logging in DB is failed for request.	ASA-KSA
122	E - 103	Audit Logging in DB is failed for response.	ASA-KSA
123	E - 104	Audit Logging in DB is failed for Error occurred.	ASA-KSA
124	E - 105	KYC XSD Validation Failed.	ASA-KSA
125	E - 106	KYC Request Signature Verification Failed.	ASA-KSA
126	E - 109	Blank Response Received from UIDAI	ASA-KSA
127	E - 110	Unable to Decrypt Response at KSA.	ASA-KSA
128	E - 111	KYC Response Signature Verification Failed.	ASA-KSA
129	E - 112	BFD XSD Validation Failed.	ASA-KSA
130	E - 113	BFD XSD Validation Failed.	ASA-KSA
131	E - 113	OTP XSD Validation Failed.	ASA-KSA
132	E - 114	OTP XSD Validation Failed.	ASA-KSA
133	E - 114	KYC Response XML Not Parsed Properly.	ASA-KSA
134	E - 115	KYC Response XML Not Parsed Properly.	ASA-KSA
135	E - 119	ASA/KSA is unable to connect to UIDAI server.	ASA-KSA
136	E - 119	ASA/KSA is unable to connect to UIDAI server.	ASA-KSA
137	E - 120	Auth XSD Validation Failed.	ASA-KSA
138	E - 121	Database audit logging in failed due to the duplicate transaction ID.	ASA-KSA
139	E - 123	BFD Request XML Not Parsed Properly.	ASA-KSA
140	E - 124	OTP Request XML Not Parsed Properly.	ASA-KSA
141	E - 125	BFD Request Signature Verification Failed	ASA-KSA
142	E - 126	OTP Request Signature Verification Failed	ASA-KSA
143	E - 129	BFD Response XML Not Parsed Properly.	ASA-KSA
144	E - 130	OTP Response XML Not Parsed Properly.	ASA-KSA
145	E - 132	Error during KYC Request Signature Verification.	ASA-KSA
146	E - 133	Error during KYC Response Signature Verification.	ASA-KSA
147	E - 136	Error during BFD Request Signature Verification.	ASA-KSA
148	E - 137	Error during OTP Request Signature Verification.	ASA-KSA
149	E - 138	Error during KYC XSD Validation.	ASA-KSA
150	E - 140	Error during BFD XSD Validation.	ASA-KSA
151	E - 141	Error during BFDOTP XSD Validation.	ASA-KSA
152	E - 143	Response Received is E	ASA-KSA
153	E - 144	BFD Response Signature Verification.	ASA-KSA
154	E- 199	KSA/ASA Internal Error.	ASA-KSA
155	E - 555	Duplicate Transaction Id Error.	ASA-KSA
156	E - 999	KSA Internal Error.	ASA-KSA

OTP Services - Error Codes

SI	Error	Error message	Originator
No	Code ESP-902	Invalid ASP ID. It cannot be Empty	ESP
2	ESP-902	Invalid ASP ID. It cannot be empty Invalid ASP ID. It may not exist or may be inactive.	ESP
2	ESP-905	Aadhaar cannot be Empty	ESP
			ESP
4	ESP-907	Request Time Stamp cannot be Empty	
5	ESP-908	Request Time Stamp is not valid. Please check the server time.	ESP
6	ESP-909	Transaction ID cannot be Empty	ESP
7	ESP-910	Duplicate Transaction ID for the given ASP.	ESP
8	ESP-911	Input XML Signature verification failed.	ESP
9	ESP-922	Invalid Signature on Input XML. Please use the corresponding certificate mapped with ESP.	ESP
10	ESP-991	ESP Database Connectivity Error	ESP
11	ESP-992	Input XML Parsing Error.	ESP
12	ESP-994	Error from KSA Server	ESP
13	ESP-995	Unknown CIDR Error	ESP
14	ESP-999	Unknown Error	ESP
15	110	Aadhaar number does not have verified mobile/email	CIDR
16	111	Aadhaar number does not have verified mobile	CIDR
17	112	Aadhaar number does not have both email and mobile.	CIDR
18	510	Invalid "Otp" XML format	CIDR
19	520	Invalid device	CIDR
20	530	Invalid AUA code	CIDR
21	540	Invalid OTP XML version	CIDR
22	542	AUA not authorized for ASA. This error will be returned if AUA and CIDR ASA do not have linking in the portal	
23	543	Sub-AUA not associated with "AUA". This error will be returned if Sub-AUA specified in "sa" attribute is not added as "Sub-AUA" in portal	
24	565	AUA License key has expired or is invalid	CIDR
25	566	ASA license key has expired or is invalid	CIDR
26	569	Digital signature verification failed	CIDR
27	570	Invalid key info in digital signature (this means that certificate used for signing the OTP request is not valid - it is either expired, or does not belong to the AUA or is not created by a CA) CIDR	
28	940	Unauthorized ASA channel	CIDR
29	941	Unspecified ASA channel	CIDR
30	950	Could not generate and/or send OTP	CIDR
31	999	Unknown error	CIDR
32	E - 101	KYC XML Not Parsed Properly. ASA-K	
33	E - 102	Audit Logging in DB is failed for request. ASA-KS	
34	E - 103	Audit Logging in DB is failed for response.	ASA-KSA
35	E - 104	Audit Logging in DB is failed for Error occurred. ASA-KSA	
36	E - 105	KYC XSD Validation Failed.	ASA-KSA

37	E - 106	KYC Request Signature Verification Failed.	ASA-KSA		
38	E - 109	Blank Response Received from UIDAI A			
39	E - 110	Unable to Decrypt Response at KSA.	ASA-KSA		
40	E - 111	KYC Response Signature Verification Failed.	ASA-KSA		
41	E - 112	BFD XSD Validation Failed.	ASA-KSA		
42	E - 113	BFD XSD Validation Failed.	ASA-KSA		
43	E - 113	OTP XSD Validation Failed.	ASA-KSA		
44	E - 114	OTP XSD Validation Failed.	ASA-KSA		
45	E - 114	KYC Response XML Not Parsed Properly.	ASA-KSA		
46	E - 115	KYC Response XML Not Parsed Properly.	ASA-KSA		
47	E - 119	ASA/KSA is unable to connect to UIDAI server.	ASA-KSA		
48	E - 119	ASA/KSA is unable to connect to UIDAI server.	ASA-KSA		
49	E - 120	Auth XSD Validation Failed.	ASA-KSA		
50	E - 121	Database audit logging in failed due to the duplicate transaction ID.	ASA-KSA		
51	E - 123	BFD Request XML Not Parsed Properly. ASA-KSA			
52	E - 124	OTP Request XML Not Parsed Properly. AS			
53	E - 125	BFD Request Signature Verification Failed AS			
54	E - 126	OTP Request Signature Verification Failed	ASA-KSA		
55	E - 129	BFD Response XML Not Parsed Properly. ASA-			
56	E - 130	OTP Response XML Not Parsed Properly. A			
57	E - 132	Error during KYC Request Signature Verification.	ASA-KSA		
58	E - 133	Error during KYC Response Signature Verification.	ASA-KSA		
59	E - 136	Error during BFD Request Signature Verification.	ASA-KSA		
60	E - 137	Error during OTP Request Signature Verification.	ASA-KSA		
61	E - 138	Error during KYC XSD Validation.	ASA-KSA		
62	E - 140	Error during BFD XSD Validation.	ASA-KSA		
63	E - 141	Error during BFDOTP XSD Validation.	ASA-KSA		
64	E - 143	Response Received is E	ASA-KSA		
65	E - 144	BFD Response Signature Verification.	ASA-KSA		
66	E- 199	KSA/ASA Internal Error.	ASA-KSA		
67	E - 555	Duplicate Transaction Id Error.	ASA-KSA		
68	E - 999	KSA Internal Error.	ASA-KSA		
	1	1			

6. Change History

			Change History	
Section	Ver	Date	Modification	
3.1	1.0	19.05.2015	 Option :2 (inserted) The Gateway Service provider should forwards the eSign API XML to ESPs without modifying the contents. The following functions are carried out Gateway. 1) Verification of PIN, if present in the eSign API XML. If PIN verification fails, send a response back to ASP. 2) The routing of eSign API XML to CA in accordance with value in the "preferredCa". If " preferredCa" is not present or service of ESP is not available, GSP may use their routing algorithms to select one ESP 	
3.3.1.1 Element Details	1.0	19.05.2015	preferredCa(Table, sl no 7) (deleted)Gateway Service provider, shall validate this field and remove the value, before sending to ESP. gatewayPin (Table, sl no 8)	
			(deleted)-In case the request is sent to Gateway Service provider, this field shall have the pin belonging to user, and be mandatory in case of OTP authentication.	
			(inserted) In case the request is sent to Gateway Service provider and authentication of user through OTP, the pin may be used	
4.1. API Protocol - OTP Generation Service	1.5	17.08.2015	Included path in URL specification for eSign/OTP	
3.3.2.1. Element Details- sl 10	1.5	17.08.2015	The ASP has to mandatorily specify the type of signature that should be created by the ESP for its response to the document hash submitted by the ASP	
3.3.2.1. Element Details- sl 11	1.5	17.08.2015	Provision has been made for ASP (when it is an AUA/KUA of UIDAI) to optionally share eKYC data with ESP. However, this sharing should be as per the terms of the AUA/KUA agreement of the ASP with UIDAI.	
3.3.1. High levelstructure, 3.3.1.2.Element Details,3.3.1.1. Flow of XMLcreation	1.5	17.08.2015	eSign input XML format has been modified to separate Aadhaar Auth XML block and document hash input, in view of UIDAI mandate that Personal Information Data(PID) of Aadhaar holder should not be stored by KUA .	
3.3.2. eSign XML structure	1.5	17.08.2015	Included provision for multiple document signature in a single authentication. Input XML format now supports minimum of 1 and maximum of 10 documents for signing. Response XML format supports multiple signatures with corresponding InputHash. User X509 Certificate is made mandatory in response, in order to keep it outside the Signatures	
From 1.5 to 1.6				
5.Gateway Service	1.6	08.04.2016	The Gateway option is retained as optional outer layer	
(3.3.1.1-Flow of XML creation ,3.3.2. eSign XML structure,	1.6	08.04.2016	Removed the outer signature in request XML. The hash of Aadhaar XML has been included in the signed XML. Now only the inner eSign XML should be signed and preserved in both the ends.	

3.3.2.1-Element			
Details)			
3.3.2. eSign XML structure	1.6	08.04.2016	Hash algorithm attribute for input hash
3.3.1.High level structure	1.6	08.04.2016	Added a note on Data Logging in Request XML.
3.3.1.1-Flow of XML creation	1.6	08.04.2016	Added a flow chart, where ASP performs the eKYC and sends KycRes data to ESP.
3.3.2.1- Element Details sl no 7	1.6	08.04.2016	IRIS added as one of the authentication parameter under "eSignClass" attribute.
5.1.1. Element Details, SL no 2	1.6	08.04.2016	Added CDAC in Preferred CA List.
(3.4. eSign API: Response Data Format - eSign Service)	1.6	08.04.2016	In responseSignatureType, removed multiple signature response support. Only one signature type can be specified.
5.Gateway Service	1.6	19.04.2016	 Removed the following text from "5.Gateway Service" The Gateway Service provider may also have additional validation process, where a one-time registration of end-user may happen, and a secure pin is provided to access the gateway. This will form a secure second factor protection in case of OTP based authentication. 1)Verification of PIN, if present in the eSign API XML. If PIN verification fails, send a response back to ASP. Removed the following reference from "5.1. Gateway enveloping XML" 1. gatewayPin="" from XML 2. Gateway pin(description raw from the table under 5.1.1. Element Details